

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to me. I verily believe I am the original first and sole inventor (if only one name is listed below) or a joint inventor (if plural inventors are named below) of the invention entitled: Milling head with one to three-dimensional adjustable cutting insert and with a positive fitting cutting insert

described and claimed in international application no. PCT/DE99/00015 filed 07.01.1999 and as amended on \_\_\_\_\_ (if any), which I have reviewed and for which I solicit a United States patent;

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

EARLIEST FOREIGN APPLICATION(S), IF ANY, FILED WITHIN 12 MONTHS PRIOR TO THE INTERNATIONAL APPLICATION				
COUNTRY	APPLICATION NUMBER	DATE OF FILING (day, month, year)	DATE OF ISSUE (day, month, year)	PRIORITY CLAIMED UNDER 35 U.S.C. 119
Germany	198 00 440.0	08.01.1999		<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
				<input type="checkbox"/> YES <input type="checkbox"/> NO
ALL FOREIGN APPLICATIONS, IF ANY, FILED MORE THAN 12 MONTHS PRIOR TO THE INTERNATIONAL APPLICATION				

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.) \_\_\_\_\_ (Filing Date) \_\_\_\_\_ (Status-patented, pending, abandoned)  
 (Application Serial No.) \_\_\_\_\_ (Filing Date) \_\_\_\_\_ (Status-patented, pending, abandoned)

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Donald L. Dennison Reg. No. 19920-  
 William H. Meserole Reg. No. 20833-  
 David Pollack Reg. No. 20478

Burton Scheiner Reg. No. 24018-  
 Ira J. Schultz Reg. No. 28666-  
 Jeffrey S. Smith Reg. No. 39377

SEND CORRESPONDENCE TO:  
DENNISON, MESEROLE, POLLACK & SCHEINER  
1745 Jefferson Davis Highway, Suite 612  
Arlington, Virginia 22202

DIRECT TELEPHONE CALLS TO:  
 (name and telephone number)  
 (703) 412-1155

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor Maier, Andreas  
 Inventor's signature [Signature] Date 26.05.00  
 Residence Schwendi-Hörenhausen Germany Citizenship German  
 Post Office Address Stegwiesen 2, 88477 Schwendi-Hörenhausen, Germany

Full name of second joint inventor Eble, Wilhelm  
 Second inventor's signature [Signature] Date 26.05.00  
 Residence Friesenheim Germany Citizenship German  
 Post Office Address Unterdorfstrasse 16, 77948 Friesenheim

Full name of third joint inventor \_\_\_\_\_  
 Third inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
 Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
 Post Office Address \_\_\_\_\_

Full name of fourth joint inventor \_\_\_\_\_  
 Fourth inventor's signature \_\_\_\_\_ Date \_\_\_\_\_  
 Residence \_\_\_\_\_ Citizenship \_\_\_\_\_

13467

Docket No. 00114

PATENT

☒ In re application of: Andreas MAIER et al

☐ Patentee

☐ Serial No.:

☐ Patent No.:

☐ Filed on:

☐ Issued on:

Title: MILLING HEAD WITH ONE TO THREE-DIMENSIONAL ADJUSTABLE CUTTING  
INSERT AND WITH A POSITIVE FITTING CUTTING INSERT

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b))--INDEPENDENT INVENTOR**

As a below named inventor, I hereby state that I qualify as an independent inventor, as defined in 37 CFR 1.9(c), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office, with regard to the invention described in

- ☐ the specification filed herewith, with title as listed above.
- ☐ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed or licensed, and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person had made the invention, or to any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.
- ☐ Each such person, concern or organization is listed below.

**\*NOTE:** *Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)*

FULL NAME \_\_\_\_\_

ADDRESS \_\_\_\_\_

☐ INDIVIDUAL    ☐ SMALL BUSINESS CONCERN    ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Maier, Andreas

Name of Inventor

Signature of Inventor

Date 26.05.00

Eble, Wilfried

Name of Inventor

Signature of Inventor

Date 26.05.00

13467

Docket No. 00114

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of: Andreas MAIER et al

☐ Patentee

☐ Serial No.:

☐ Patent No.:

☐ Filed on:

☐ Issued on:

Title: MILLING HEAD WITH ONE TO THREE-DIMENSIONAL ADJUSTABLE CUTTING INSERT AND WITH A POSITIVE FITTING CUTTING INSERT

STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(b))--SMALL BUSINESS CONCERN

I hereby state that I am

☐ the owner of the small business concern identified below:

☒ an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern Hartmetallwerkzeugfabrik Andreas Maier GmbH  
Address of Small Business Concern Stegwiesen 2, 88477 Schwendi-Hörenhausen,  
Germany

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above, with regard to the invention described in

☐ the specification filed herewith, with title as listed above.

☐ the application identified above.

☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c), if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

NOTE: Separate statements are required from each named person, concern or organization having rights to the invention as to their status as small entities. (37 CFR 1.27)

Each such person, concern or organization having any rights in the invention is listed below:

- ☐ No such person, concern, or organization exists.  
☐ Each such person, concern or organization is listed below.

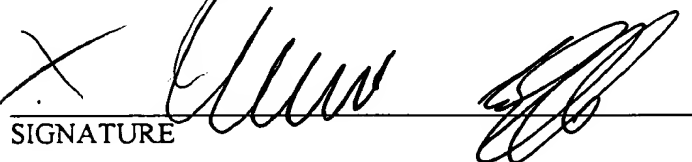
Name \_\_\_\_\_  
Address \_\_\_\_\_

☐ INDIVIDUAL      ☐ SMALL BUSINESS CONCERN      ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of Person Signing Maier, Andreas  
Title of Person if Other Than Owner \_\_\_\_\_  
Address of Person Signing Stegwiesen 2, 88477 Schwendi-Hören-  
hausen

  
SIGNATURE

Date 26.05.00